

# (Regulatory)

(CENTRALIZES) (REGULATORY COMPLIANCE MANAGEMENT) (REAL-TIME)

(Compliance management) SharePoint (Real-time) (track&map) (compliance monitoring) (Built-in) (regulators) (compliant) (automate) (key issues)

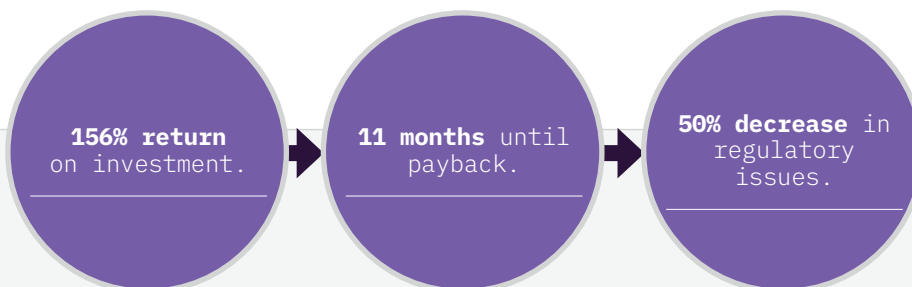
## ComplianceBond

- end-to-end platform (regulation&compliance)
- (regulatory standards), (change management) (Dashboards), (compliance metrics)
- (non-compliance) (automate)
- (Owners) (requests) (external requests) (tracking) (reminders) (built-in) (system)

" (regulators) (key policy issue) (regulators) 가 ... (regulators) "

- Chief auditor for a US regional bank.

가 (COMPLIANCE PROFESSIONALS) COMPLIANCEBOND (RESULTS)



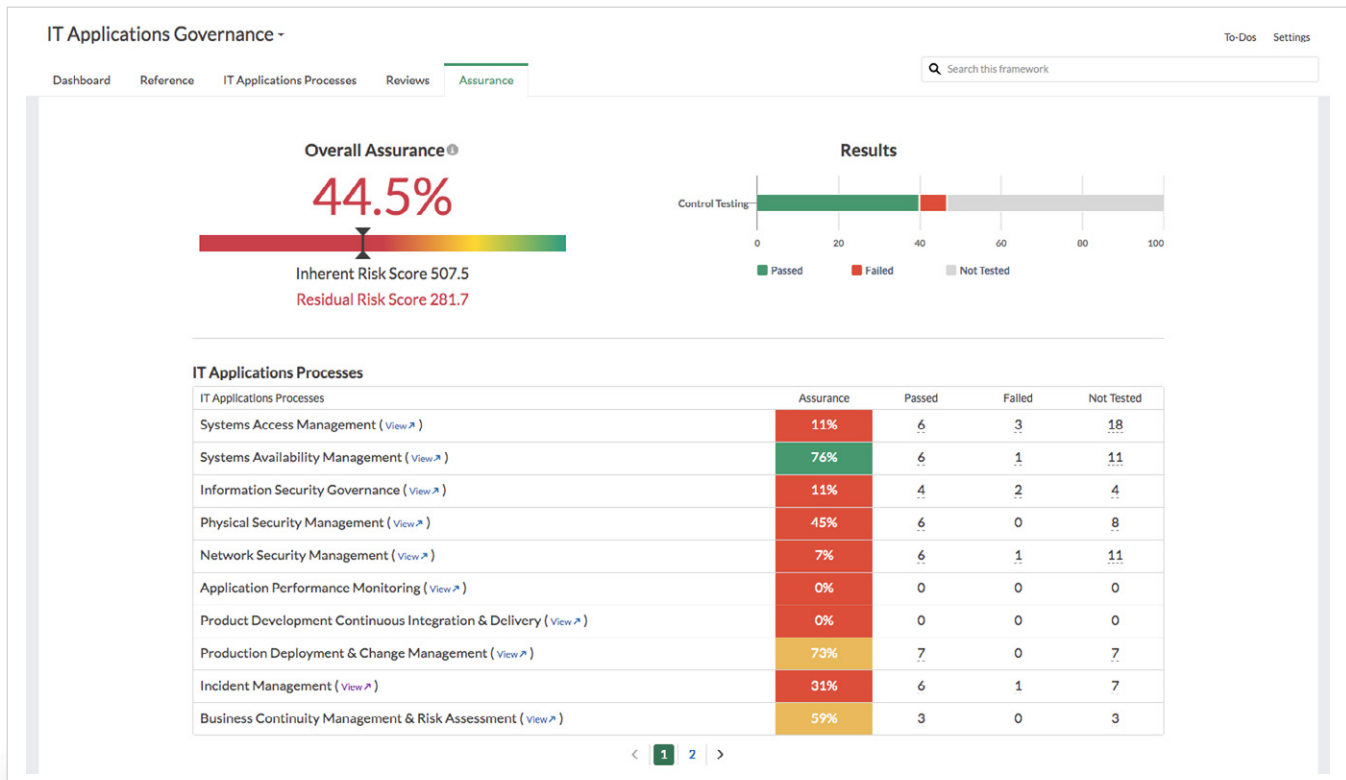


Figure 1: Compliance assurance

### COMPLIANCE WORKFLOWS

- 1 requirements) (regulatory policies)
- 2 , 가
- 3 (requirements) (single control)
- 4 track), (regulations) (measure) (priorities) (identify)
- 5 tasks) 가 가 (highest-priority assign)

### ASSESSMENTS, MONITORING, & REPORTING

- 1 (questionnaires) 가 (assessments)
- 2 100%
- 3 acquisition tasks) KPI, KRI KCI (trends) (outliers) (thresholds) (alerts) trigger
- 4 (control) (continuously monitor)
- 5 가 (Self-assessment) (control owners) (assign) (proof) (response)

### COMPLIANCE REPORTING

- 1 (Real-time dashboards)
- 2 (controls), (issues) (drill down)
- 3 (regulators) (self-serve)" (regulatory dashboards)
- 4 failures) (control gaps) (flag)
- 5 (One-click) , C-suite ( )